

Towards Inclusive Research Programming for Sustainable Food Innovations





### 'INPROFOOD: Towards inclusive research programming for sustainable food innovations'

Project duration: 36 months (2011 – 2014)

7 workpackages

Total Budget: around 4 Million Euro

Coordinated by the University of Hohenheim

18 Partners from 13 European countries





## Agenda

#### PART I

**Overview of the INPROFOOD project** 

#### PART II

INPROFOOD – Implementation

#### Part III

INPROFOOD – Outcomes

#### Part IV

#### Recomendations





## Context

- Food and health are key priorities for EU to respond to the increase in obesity and diet-related chronic diseases;
- Dialogue between academia, industry and civil society for successful research programming and implementation of food innovations;
- Awareness raising on healthy eating has not led to significant changes in patterns of food purchase and consumers, so far;
- Bringing together the scientific and civil society community is essential to improve success in addressing this challenge with research and innovation.



## PART I Overview of the INPROFOOD project

### **MAIN GOAL**

Promote **bottom-up development** of concepts (processes and structures) of societal engagement in food and health research

Research/ Research Academic Funders Community **INPROFOOD** Policy Makers Media Innovative approaches Sustainable Food Research **Target groups** (technical and social) for dealing with the food and **Civil Society Food Producers** health challenge. Citizens





## **SPECIFIC OBJECTIVES (1/2)**

To **investigate current processes and structures** of research programming (WP1);

To **develop stakeholder engagement** at national and European level. European Awareness Scenario Workshops (EASWs), Play-Decide-Games, European Open Space Conference (WP 2 and 3);

To **stimulate uptake of concrete initiatives** of societal engagement in food and health research. Action Plan (WP4).



## PART I Overview of the INPROFOOD project



## **SPECIFIC OBJECTIVES (2/2)**

To **contribute to improved methodology** used in Science in Society (SiS) research projects. Evaluation of employed methods: (WP5);

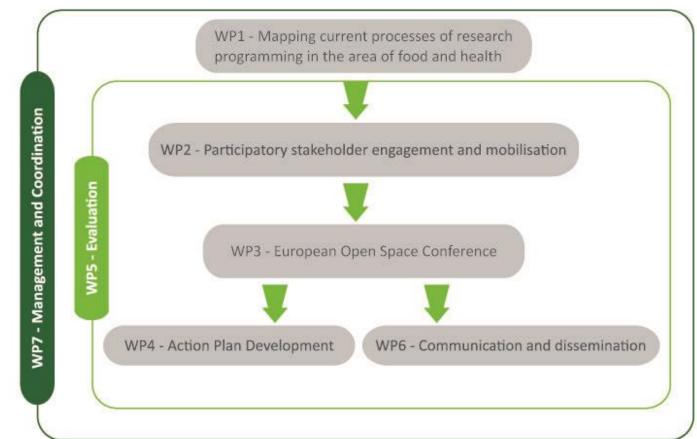
To facilitate communication with the project's target audience: (WP6).





## PART I Overview of the INPROFOOD project







## Agenda of Maastricht University



### How to achieve goals and targeted objectives?

- WP1: Mapping current processes of research programming in the area of food and health at national and at European level;
- WP2: Direct participation of national stakeholders through assessment, engagement and mobilization;
- WP3: Mobilizing stimulus, ideas and initiatives for CSO-research partnerships through a European Space Conference.



## Agenda of Maastricht University

### How to achieve goals and targeted objectives?

- **WP 4:** Outline of a **Mobilization and Mutual Learning Action Plan** based upon stakeholders analysis and social network policy analysis;
- WP 6: Communication and dissemination strategy through promotion of dialogue for enhancing the transferability of established practices;
- WP 7: Management and coordination tasks.





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*INPROFOOD* – Implementation

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### **Current situation of research programming (WP 1)**

- Research themes were selected after completion of prior desk research;
- Involved stakeholders were approached for interviews by means of covering letters;
- Semi-structured interviews aimed at encouraging dialogue and minimize interviewer bias;
- Interviews focused on the concept of innovation in food and health & the decision making process for publicly funded projects;
- Confidentiality clause → very limited use.





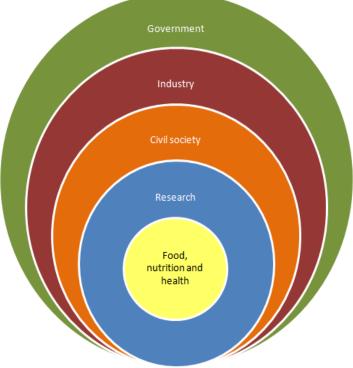
## PART II INPROFOOD – Evaluation of Process

### **Identification of stakeholders (WP 2)**

 Designing a specific recruitment strategy to promote participation in workshops

- Step 1: Identifying small, medium & large-scale national stakeholders
- Step 2: Inviting non-arbitrarily selected stakeholders drawing results of lottery based Stakeholder Selection





## **PART II** INPROFOOD – Evaluation of Process

## Recruitment of participants European Awareness Scenario Workshops (WP2)

- Compiling a stakeholders database in a transparent manner  $\rightarrow$  preventing the selection of 'favorites' or 'usual suspects'  $\rightarrow$  ensuring a broad participation of national stakeholders;
- Lottery based stakeholder selection method non-selective and objective process for selecting invitees from the stakeholders database;
- Invitation process was similar in all EU countries so as to achieve comparable results;



### **Evaluation of recruitment procedure (WP2)**

- Personal invitations and follow-up calls have proven to be most effective to attract participants;
- 'Open Call' procedure for stakeholder groups that are difficult to contact via regular invitations (mainly NGO's) → less labor-intensive → effective in combination with expensive advertisements though;
- Recruitment procedure also included spreading briefing papers discussing the topic of food and health





## PART II INPROFOOD – Evaluation of Process

- Despite extensive invitation process, difficult to involve stakeholders in workshops;
- Most heard reason for not-participating: 'lack of relevance' and 'no time to attend';
- Stakeholders were unclear on which ground they had been invited;
- Differences between countries can partly be explained by less adherence to methodology.





# PART II INPROFOOD – Evaluation of

### Process

EASW Location	Organisation type	Approached	Agreed to attend	Attended
Rome	Civil Society Business-related	17	7	6
	Public Institutions	17	6	5
Porto	Civil Society Business-related	62	4	2
	Public Institutions	35	5	5
Maastricht	Civil Society Business-related	135	3	2
	Public Institutions	64	3	3
Ankara	Civil Society Business-related	9	7	6
	Public Institutions	9	7	4
Bratislava	Civil Society Business-related	25	8	4
	Public Institutions	25	8	7
Athens	Civil Society Business-related	70e	8	8
	Public Institutions	40e	3	1
		60e	9	7
London	Civil Society Business-related	29	2	2
	Public Institutions	18	3	2
		13	4	3
Copenhagen	Civil Society Business-related	15e	5	5
	Public Institutions	15e	5	5
		20e	7	7
Bonn	Civil Society Business-related	233	12	9
	Public Institutions	240	6	4
	Other	161	6	4

Towards sustainable food research

## PART II | Inprofood – Evaluation of Process

### **Action Plan Development (WP 4)**

- Development of an outline for a Mobilization and Mutual Learning Action Plan (MMLAP), based on WP 1 and stakeholder analysis;
- Stimulating public engagement and enhancing the understanding of the public health food dilemma;
- Setting goals for future research programming in field of sustainable food innovations and developing advice for policy makers;
- Stakeholder analysis and Social Network Policy Analysis → aimed at singling out the role of stakeholders within the publicly funded projects.





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Overview of the INPROFOOD project – Aims and Structure

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## **Current situation of research programming (WP1)**

- High involvement of national Governments;
- Interactions between universities and industry perceived as an important condition for innovation;
- Low involvement of civil society; involvement of industry and research institutions not uncommon → difficult to involve new (public) stakeholders;
- Public engagement problematic in most EU countries;
- Poor transparency of decision-making processes on research



## Current situation of research programming (WP1)

- Difficulties in comparing the achieved outcomes among involved countries;
  - Two main funding mechanisms could be defined: **responsive mode** (initiative of researchers) & **strategic funding** (initiative of national Governments);
- Influence of industry strongly depends on country;
- Freedom from regulatory constraints a condition *sine qua non* for innovation, aligned with the dynamics of unconstrained markets.



### Main outcomes EASWs (WP2)

- 'What themes in food and health do you consider as relevant?'
- Different focus of different stakeholder groups:
  - Non-profit organisations: focus on consumer awareness, bottom-up approach, education and social relevance of research;
  - Industry: changing consumer behaviour, claims and regulations and organic and local food production;
  - Public sector: communicate science to consumer, nutrition as medicine, transparency in cooperation and food waste;
- Agreements between stakeholders groups:
  - Transparency is crucial for successful cooperation;
  - Common vision amongst stakeholders is needed for succesful project.



## PART III INPROFOOD - Outcomes (WP 2)

#### **Best Case Scenario**

- Multi-disciplinary research, with social relevance;
- Involvement of high variety of stakeholders;
- Topic selection via independent experts;
- Funding decisions made in a transparent and independent manner.

#### Worst Case Scenario

- Lack of common vision between stakeholders;
- Lack of transparency in topic selection and funding criteria;
- Only publishing positive results
  →Publication bias!;
- Too much influence of politics and big industries in decision making;
- Research and innovation with low social relevance.



### Main outcomes Open Space Conference (WP 3)

- No consensus on **definition** of the term 'healthy' food;
  - Narrow concetualisation healthy for human body;
  - Broader conceptualisation impact of food production and comsumption into the society;
- **Communication and accessibility of knowledge** is key to changing behavior;
- Improving access to information from civil society (citizens and NGO);
- The process of collaboration in research agenda setting needs to be transparent and should take into account the perspective of all

#### relevant stakeholders.

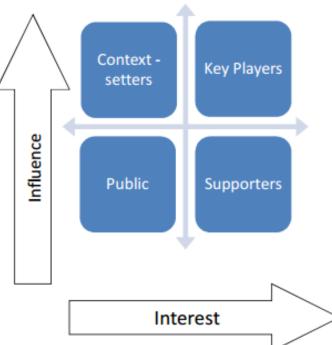


### Main outcomes Action Plan (WP 4)

Various roles assigned to different stakeholder groups, depicted in a 'Power/Interest Grid';

#### Structure to be found in most countries:

- <u>Context-setters</u> are mainly governments;
- <u>Key players:</u> industrial partners and scientists;
- <u>Consultation of citizens</u> via NGOs & third parties directly or indirectly interested: Very low role in agenda setting!







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## PART IV | Recommendations

### Recommendations

- Further reflections about 'who' defines current needs for innovation →
  Enhanced dialogue between stakeholders;
- Improvement of transparency on agenda setting & decision making on research funding:
  - Strengthened direction participation of affected interest group;
    - need for inclusiveness of medium and small-scale stakeholders in addition to large scale ones being heavily involved;
      - Criteria for their inclusion? High social engagement;
- Improvement of communication of scientific results to the public, including increased transparency and participation leading to regain of consumer trust.















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